

THOUGHTS

Correspondences and Polynomials

Parameterized Families Let

$$k[x_i, t_j] \ni F_a(x_i, t_j) \text{ and } F \subseteq \mathbb{A}_x^m \times_k \mathbb{A}_t^n = X \times_k T = \text{Spec}(k[x_i, t_j])$$

be a system of polynomials and a closed subscheme $F = V(F_a)$ given by it. For the moment, the t_i are to be thought of as parameters.

We seek a decomposition

$$T = \sqcup T_\alpha$$

such that precisely on each T_α there is a uniformity of the fibers

$$F_t = V(F_a)_{t_i}$$

for $t \in T_\alpha$.

Ideally, we would like the T_α to be *semialgebraic sets* or *constructible sets*.

Properties There are enough properties according to which one could stratify:

- F_t isomorphic to $F_{t'}$ for $t, t' \in T_\alpha$ in the sense of
 - Isomorphism as k -varieties.
 - Isomorphism as $\bar{k}$ -varieties.
 - Birational isomorphism.
 - Isomorphism as analytic varieties.
 - Isomorphism as topological spaces.
 - Isomorphism as homotopy spaces.
- F_t has exactly k preimages for $t \in T_k$ (possibly counted as the length of F_t).
- Within $t \in T_\alpha$, F_t has “the same primary ideal types” (what does this mean at all? For $f(x_1, \dots, x_m, t) = 0$, a hypersurface, it is clear; more generally one would first have to define it).
- Within $t \in T_\alpha$, F_t has “the same singularities”. Here it is even less clear what this might mean at all. A zeroth-order approach would be: “Is nonsingular” vs. “Is singular”.
- For $t, t' \in T_\alpha$, infinitesimally adjacent, F_t can always be deformed into $F_{t'}$.

Here one must always distinguish the cases of discrete moduli (finitely or countably many classes T_α) or continuous moduli (finitely many $G_\alpha \in k[t_j, u_s]$ and finitely many $H_b(u_s) \in k[u_s]$, where the u_s are “implicit invariants” for the t_j).

Cubic Curves An example of continuous invariants would be, for instance,

$$F(x, y, a, b) = y^2 - (x^3 + ax + b) = 0$$

as our function $F = 0$, where x, y are the x_i and a, b are the t_j . The moduli space $k[t_j, u_s]$ is $k[a, b, j]$ with the function $G(a, b, j) = j(4a^3 + 27b^2) - 17284a^3$ (there are no functions $H_b(u_s)$ here).

However, one must still decompose the space $k[a, b]$ into $T_1 = (4a^3 + 27b^2) \neq 0$ (elliptic curves) and $T_2 = (4a^3 + 27b^2) = 0, a \neq 0$ (nodal curves) and $T_3 = (4a^3 + 27b^2) = a = 0$ (cuspidal curves). The moduli space $(k[a, b, j], G)$ then only further subdivides the subspace T_1 .

A hypothetical algorithm should find the decomposition of T into T_1, T_2, T_3 by itself and also the moduli space $k[a, b, j]$ for T_1 with the implicit invariant $G(a, b, j)$.

Kodaira-Spencer If, somewhat more generally, $V(F_a) = F \subseteq \mathbb{P}_{k[t_j]}^m$ is projective over T , thus the $F_a(x_i, t_j)$ are homogeneous in the x_i , then one has a Kodaira-Spencer map

$$K_t : T_t T \rightarrow H^1(F_t, \mathcal{T}_{F_t}) = T_{F_t}(\mathcal{M})$$

where $\mathcal{M}$ is the moduli space of the F_t .

For every algebraic invariant $u_s(t_j)$ which comes from $T \rightarrow \mathcal{M}$, one has

$$\ker du_s(t_j)_t \supseteq \ker K_t.$$

The system of linear subspaces $\ker K_t$ defines a system of linear PDEs on (t_j) whose solutions are the algebraic invariants $u_s(t_j)$ that we sought above.

Kodaira-Spencer Example Let $T = \text{Spec}(k[a, b]) = \text{Spec}(A)$ and $P = \mathbb{P}_T^2$ with $P = \text{proj}(A[X, Y, Z])$, and further $X = V(F) \subseteq P$ with $F = F(a, b, X, Y, Z)$, for example

$$F = Y^2 Z - (X^3 + aXZ^2 + bZ^3)$$

We have the sequence

$$0 \rightarrow \pi^* \Omega_T \rightarrow \Omega_X \rightarrow \Omega_{X|T} \rightarrow 0$$

and the dualization $\mathcal{H}om(-, \mathcal{O}_X)$

$$(1) \quad 0 \rightarrow \mathcal{T}_{X|T} \rightarrow \mathcal{T}_X \rightarrow \pi^* \mathcal{T}_T \rightarrow 0$$

The diagram for the fiber at $t \in T$ is

$$\begin{array}{ccc} X & \xleftarrow{j} & X_t \\ \downarrow \pi & & \downarrow \pi' \\ T & \xleftarrow{i} & t \end{array}$$

Applying $j^*(-)$ to the sequence (1), we obtain

$$0 \rightarrow \mathcal{T}_{X_t|t} \rightarrow \mathcal{T}_X \otimes_{\mathcal{O}_X} \mathcal{O}_{X_t} \rightarrow \mathcal{T}_{T,t} \otimes \mathcal{O}_{X_t} \rightarrow 0$$

The long exact sequence gives

$$(2) \quad H^0(\mathcal{T}_X \otimes_{\mathcal{O}_X} \mathcal{O}_{X_t}) \xrightarrow{\psi_t} \mathcal{T}_{T,t} \otimes H^0(\mathcal{O}_{X_t}) \xrightarrow{K_t} H^1(\mathcal{T}_{X_t|t})$$

where K_t is the Kodaira-Spencer map and ψ gives a surjection onto $\ker K_t$.

Thus, if we want to determine $\ker K_t$ as $\text{im } \psi_t$, we must describe $\mathcal{T}_X$ explicitly: At first it is

$$(3) \quad 0 \rightarrow \mathcal{T}_X \rightarrow \mathcal{T}_P \otimes_{\mathcal{O}_P} \mathcal{O}_X \rightarrow \text{Hom}(\mathcal{I}/\mathcal{I}^2, \mathcal{O}_X)$$

where $\mathcal{I}$ is the ideal sheaf $F \mathcal{O}_P$.

Furthermore, $P = T \times_k \mathbb{P}_k^2$ and hence

$$(4) \quad \Omega_P = p_1^* \Omega_T \oplus p_2^* \Omega_{\mathbb{P}_k^2}$$

With the Euler sequence $0 \rightarrow \Omega_{\mathbb{P}^2} \rightarrow \mathcal{O}_{\mathbb{P}^2}(-1)^3 \rightarrow \mathcal{O}_{\mathbb{P}^2} \rightarrow 0$ and its dualization we obtain

$$(5) \quad \mathcal{T}_P = p_1^* \mathcal{T}_T \oplus p_2^* \mathcal{T}_{\mathbb{P}_k^2} = \mathcal{O}_P \partial_a + \mathcal{O}_P \partial_b + (\mathcal{O}_P(1) \partial_X + \mathcal{O}_P(1) \partial_Y + \mathcal{O}_P(1) \partial_Z) / \mathcal{O}_P(X \partial_X + Y \partial_Y + Z \partial_Z)$$

With this representation by explicit vector fields, from the sequence (3) we obtain for $H^0(\mathcal{T}_X)$ the representation as the kernel of the map

$$(6) \quad v \in H^0(\mathcal{T}_P \otimes \mathcal{O}_X) \mapsto v \vdash F$$

and concretely we have

$$(7) \quad \mathcal{T}_P \otimes \mathcal{O}_X = (\mathcal{O}_X \partial_a + \mathcal{O}_X \partial_b + \mathcal{O}_X(1) \partial_X + \mathcal{O}_X(1) \partial_Y + \mathcal{O}_X(1) \partial_Z) / \mathcal{O}_X(X \partial_X + Y \partial_Y + Z \partial_Z)$$

We can write this as

$$(8) \quad 0 \rightarrow \mathcal{L} \rightarrow \mathcal{W} \rightarrow \mathcal{T}_P \otimes \mathcal{O}_X \rightarrow 0$$

and then consider the diagram from (3)

$$(9) \quad \begin{array}{ccccccc} 0 & \longrightarrow & K & \longrightarrow & H_{\geq 0}^0(\mathcal{W}) / H_{\geq 0}^0(\mathcal{L}) & \xrightarrow{v \vdash F} & R(d) \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & K' & \longrightarrow & H_{\geq 0}^0(\mathcal{W}) & \xrightarrow{v \vdash F} & R(d) \end{array}$$

Then $\tilde{K} = \mathcal{T}_X$ and $(K^{\text{sat}})_0 = H^0(\tilde{K}) = H^0(\mathcal{T}_X)$.

The projections of K_0 and K'_0 onto $A \partial_a + A \partial_b$ are identical, so that instead of determining K_0 we may also use the simpler K'_0 , where no quotient has to be taken. From the sequence

$$(10) \quad 0 \rightarrow \mathcal{O}_P(-d+e) \xrightarrow{F} \mathcal{O}_P(e) \rightarrow \mathcal{O}_X(e) \rightarrow 0$$

we obtain here, with $d = 3$ and $e = 0, 1$, the equalities

$$(11) \quad H^0(\mathcal{O}_X) = H^0(\mathcal{O}_P) = A = k[a, b], \quad H^0(\mathcal{O}_X(1)) = H^0(\mathcal{O}_P(1)) = XA + YA + ZA$$

Thus the vector fields $v \in H^0(\mathcal{W})$ are always of the form

$$(12) \quad v = A \partial_a + A \partial_b + (AX + AY + AZ) \partial_X + (AX + AY + AZ) \partial_Y + (AX + AY + AZ) \partial_Z$$

or, written with functions,

$$(13) \quad v = w_a(a, b) \partial_a + w_b(a, b) \partial_b + w_X(a, b; X, Y, Z) \partial_X + w_Y(a, b; X, Y, Z) \partial_Y + w_Z(a, b; X, Y, Z) \partial_Z$$

where the w_X, w_Y, w_Z are linear and homogeneous of degree 1 in X, Y, Z .

These elements v which, when applied to F , annihilate it, i.e. give $v \vdash F = 0$, are, projected onto $A \partial_a + A \partial_b = \mathcal{T}_T$, precisely the kernels of the Kodaira-Spencer maps K_t .

We thus obtain these w_a, w_b as parts of the solution of the system of linear PDEs

$$(14) \quad v \vdash F = 0$$

$$(15) \quad (w_a)_X, (w_a)_Y, (w_a)_Z = 0$$

$$(16) \quad (w_b)_X, (w_b)_Y, (w_b)_Z = 0$$

$$(17) \quad w_X = X w_X^X(a, b) + Y w_X^Y(a, b) + Z w_X^Z(a, b)$$

$$(18) \quad w_Y = X w_Y^X(a, b) + Y w_Y^Y(a, b) + Z w_Y^Z(a, b)$$

$$(19) \quad w_Z = X w_Z^X(a, b) + Y w_Z^Y(a, b) + Z w_Z^Z(a, b)$$

$$(20) \quad (w_X^X)_X, (w_X^X)_Y, (w_X^X)_Z = 0$$

$$(21) \quad \dots$$

$$(22) \quad (w_Z^Z)_X, (w_Z^Z)_Y, (w_Z^Z)_Z = 0$$

One can try, for example, to solve this system with a computer algebra system; the system *Maple* finds the desired solution in the example, equation (23).

Of course one does not really need to solve a system of LPDEs here; one simply takes all $w_a, w_b, w_X^X, \dots, w_Z^Z$ to be functions of a, b and computes in $v \vdash F = 0$ all coefficients $c_{ijk}(a, b)$ of $X^i Y^j Z^k$. They form a system of syzygy equations for the $w_a, w_b, w_X^X, \dots, w_Z^Z$, which can be solved by the usual Gröbner basis methods.

One obtains (in one way or another):

$$(23) \quad (w_a \partial_a + w_b \partial_b) G = 2a \partial_a G + 3b \partial_b G = 0$$

as the condition for an invariant $G = G(a, b)$. The general solution of this condition equation is

$$(24) \quad G(a, b) = g\left(\frac{b}{a^{3/2}}\right)$$

and indeed the known j -invariant $j = 6912 \frac{a^3}{4a^3 + 27b^2}$ can be expressed rationally in terms of the invariant b^2/a^3 .

Kodaira-Spencer in General In general, $X \subseteq \mathbb{P}_T^n = P$ with $T = \text{Spec}(k[t_j]) = \text{Spec}(A)$ and $X = V(F_a(X_i, t_j))$ with $a = 1, \dots, s$. Then

$$(25) \quad S = A[X_0, \dots, X_n], \quad I = (F_1, \dots, F_s), \quad R = S/I$$

and

$$(26) \quad P = \text{proj}(S), \quad X = \text{proj}(R).$$

For the sake of correctness, we assume that X is nonsingular, so that the sequence

$$(27) \quad 0 \rightarrow \mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{P|k} \otimes \Omega_X \rightarrow \Omega_{X|k} \rightarrow 0$$

is an exact sequence of sheaves.

We again use the sequence (1), which now forces us to compute the expression $H^0(\mathcal{T}_X)$ in (3).

We therefore write (3) as

$$(28) \quad 0 \rightarrow N \rightarrow \left(\sum_j R \partial_{t_j} + \sum_i R(1) \partial_{X_i} \right) / R \left(\sum_i X_i \partial_{X_i} \right) \xrightarrow{\delta} \text{Hom}(I/I^2, R)$$

and note that, upon applying $\widetilde{(-)}$, the sheafification, one recovers the sequence (3). In particular, for

$$D_p = (\sum_j R\partial_{t_j} + \sum_i R(1)\partial_{X_i})/R(\sum_i X_i\partial_{X_i})$$

one always has $\widetilde{D}_p = \mathcal{T}_p \otimes \mathcal{O}_X$.

Now $\phi : M = \sum_v R(-d_v)e_v \rightarrow I/I^2$ with $\phi(e_v) = F_v + I^2$ and $d_v = \deg_X F_v$ is a surjection, so that we can also rewrite (28) as

$$(29) \quad 0 \rightarrow N \rightarrow (\sum_j R\partial_{t_j} + \sum_i R(1)\partial_{X_i})/R(\sum_i X_i\partial_{X_i}) \xrightarrow{\delta'} \text{Hom}(M, R)$$

where

$$(30) \quad \delta'(\partial_{t_j}) \vdash \phi(e_v) = \partial_{t_j} F_v$$

$$(31) \quad \delta'(\partial_{X_i}) \vdash \phi(e_v) = \partial_{X_i} F_v$$

hold.

Thus we have clarified all terms and maps in the sequence (29) and can, using the usual techniques provided by Macaulay2, apply $H^0(\text{sheaf}(-))$ to the module sequence (29) and obtain $H^0(T_X)$ explicitly as a submodule of

$$(32) \quad T_p = H^0(\widetilde{D}_p)$$

Subsequently, we simply project the generators of T_p that lie in the kernel of δ' onto $H^0(\sum_j R\partial_{t_j})$, just as we did in the special case of a single hypersurface.

Applications If one had an algorithm that produced such stratifications, one could decide many things:

1. Is $F_a(x_i, y_j) = 0$ the graph of a morphism from $X' \subseteq X$ to $Y' \subseteq Y$?
2. Is $F_a(x_i, y_j) = 0$ an isomorphism between $X' \subseteq X$ and $Y' \subseteq Y$?

In case 1, one checks whether X' lies in a flatness stratum, i.e. whether $F_{X'}$ is flat over X' , and whether X' lies in the stratum of all zero-dimensional fibers of length 1.

In case 2, one applies the criteria from 1 also “backwards”.

In this way one should then be able to see that $t^2 - u, t^3 - v$ does not induce an isomorphism between $\mathbb{A}_t^1$ and $V(u^3 - v^2) \subseteq \mathbb{A}_{u,v}^2$.

Further Dreams

A book with the title “From Symbolic Calculation to Gröbner Bases” in which the whole of algebraic geometry is reduced to polynomials $F(x_i, y_j, \dots, z_k) = 0$ (“symbolic calculation”) and all algebraic “predicates” occur as results of algorithmic computations (at the core always Gröbner bases). Elimination term orders and quantifier elimination play a major role.

A vector bundle is a system (x_i, t_j) with $F_a(x_i) = 0$, a map of vector bundles a system (x_i, t_j, y_k, u_k) with $G_b(x_i, t_j, y_k, u_k) = 0$, which is linear in t_j and u_k . Projective schemes are represented by $F_a(x_i, y_j, z_k) = 0$, which are homogeneous in each group x_i, y_j, z_k .

Instead of completions, power-series expansions are used. How far might one get with all this? “It’s a long long way to Tipperary...”